



DELAWARE-OTSEGO AUDUBON SOCIETY, INC.

P.O. Box 544, ONEONTA, NY 13820

Mr. Alec Jarvis
Director of Development
Calpine Corporation
34 Ash Swamp
Scarborough, ME 04074

March 17, 2017

Dear Mr. Jarvis:

We are writing on behalf of our organization regarding the proposed Bluestone Wind project in the towns of Windsor and Sanford, Broome County, NY. We are listed as a stakeholder in this project, and intend to seek intervenor status.

We note that the Public Involvement Program Plan for the project mentions " . . . preliminary environmental reviews that have not indicated any significant wildlife or unique natural habitat concerns." Given the project's location in an area of significant importance to threatened and endangered species, we wonder if these species were considered in preliminary reviews. We would appreciate any information you can provide on these preliminary environmental reviews and the information included in this determination.

Our organization has made a concerted effort to understand the use of habitat by raptors in our region, especially the large soaring raptors which concentrate along local ridges during migration. These efforts include winter camera trapping at more than 20 locations following the Appalachian Eagle Project protocol, the capture and tagging of 8 golden eagles with GPS tracking devices, winter raptor surveys, and tens of thousands of hours of standardized migration counts at 9 locations, most notably at the Franklin Mountain Hawkwatch in Delaware Co. (<http://hawkcount.org/siteinfo.php?rsite=361>). It is noteworthy that Franklin Mountain and the Bluestone Wind Project align precisely with the NE-SW/SW-NE migratory raptor flight paths.

We have been involved in reviews of several wind projects in the region. Often, studies for avian impact assessments were designed, conducted and analyzed before we even became aware of the project. We found serious flaws and gaps in some of these assessments, including in 2013 the South Mountain Wind Project in Walton, Delaware Co., NY, approximately 25 miles east of the Bluestone project area. This project was abandoned, following the receipt of information we provided on the presence of large numbers of Bald and Golden Eagles in the vicinity, and the very poor quality of the avian impact assessment prepared for the project.

For the South Mountain Project and the Jordanville Wind Project we found shortcomings that included the use of low-skilled surveyors, poor design of migration studies, poor timing of migration studies (both in regards to weather and dates), inadequate coverage of migrations, failure to adequately address nearby concentrations of Short-eared Owls near Jordanville, and the same in regards to concentrations of winter resident Golden and Bald Eagles near South Mountain. We want to help Bluestone Wind avoid these shortcomings.

We have visited the Cannonsville Reservoir area regularly for nearly 3 decades to observe eagles. The South Mountain Project was near the Cannonsville Reservoir, a portion of which falls within the Bluestone study area. The reservoir and surrounding area, along with the Delaware and Susquehanna Rivers, are important nesting, migration and wintering areas for state-threatened Bald Eagles, and migration and wintering areas for state-endangered Golden Eagles. We believe this also applies to the Bluestone project area. Data from the New York State Department of Environmental Conservation and the annual Mid-Winter Bald Eagle Survey should be included in the risk assessment.

We also surveyed the Bald and Golden Eagle spring migration in lower Delaware County 11 miles ESE of the Village of Sanford during the spring of 2009. Over a 9 day period, we counted 100 migrating eagles. The results of this survey can be found at http://doas.us/wp-content/uploads/2013/04/DELA_Eagle_Count_Report_3-09.pdf

Regarding the 8 winter resident golden eagles with GPS tracking devices, we have data on their movements through the region. Most of these birds were captured 40 miles east of the project area, though some ranged into the study and project areas. Our tracking data are included in a larger effort to determine locations and movements of golden eagles during migration and winter in the Appalachians. This species flies at low altitudes and in the right conditions can be particularly susceptible to collision with wind turbines (see, for example, Altamont Pass in California).

We have attached a map showing the density of Golden Eagle migration tracks through NY State. This map was created by Trish Miller for the New York State Energy Research and Development Authority in 2013. She calculated the line density using 98 tracks from 48 eagles between 2007–2013. The darker areas indicate a higher density of tracks and thus, more concentrated movements of eagles through the region. We superimposed the Bluestone Wind project area over this map. It shows that the project area is located in one of the highest density migration corridors for these birds.

It is our intention to see that risks to both species of eagles are adequately addressed before project approval. If the project is constructed, we want to do what is possible to assure individual turbines are sited in the lowest risk areas. Concerns include: spring migrant golden and bald eagles; fall migrant golden and bald eagles; wintering bald eagles, which concentrate along waterways but forage across the landscape; winter resident golden eagles, which tend to stay at higher elevations; and, summer resident bald eagles, including nesting birds. Given what we know about the use of the region by eagles during 4 seasons, we recommend the following pre-construction activities:

- Multi-season risk modeling using paired resource selection models. This would compare habitat use and selection of flying golden eagles and placement of wind turbines to

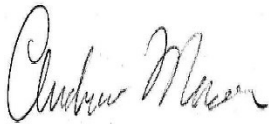
identify specific areas of overlap (high risk) and areas where the two do not overlap (low risk). Please refer to the attached paper.

- Camera traps to be continually baited with road-killed deer at two locations strategically located on the landscape from 1 January to 15 February 2018, and operated according to the protocol of the Appalachian Eagle Project (<http://appalachianeagles.org/>).
- On the ground migration surveys in spring and fall. Since the project area is large, studies need to be designed to achieve an understanding of the whole project area. The skill of the surveyors and timing of these surveys – both date and weather conditions - is critical.

In addition to our concerns over eagles and other raptors from the project, we believe it would be appropriate for Bluestone Wind to carry out comprehensive, multi-year studies of all birds in the project study area during breeding, migration and winter seasons. If the project is approved, these surveys should be continued post-construction for three years. In addition, it is essential that data from these surveys be made available in a timely manner for review by the public, organizations and governmental bodies.

We offer to assist wherever possible, and provide information on bird populations and movements in the area in order to assure a thorough consideration of potential impacts from the Bluestone Wind project. Our contact information is below.

Sincerely,



Andrew Mason, co-President



Thomas Salo, Director

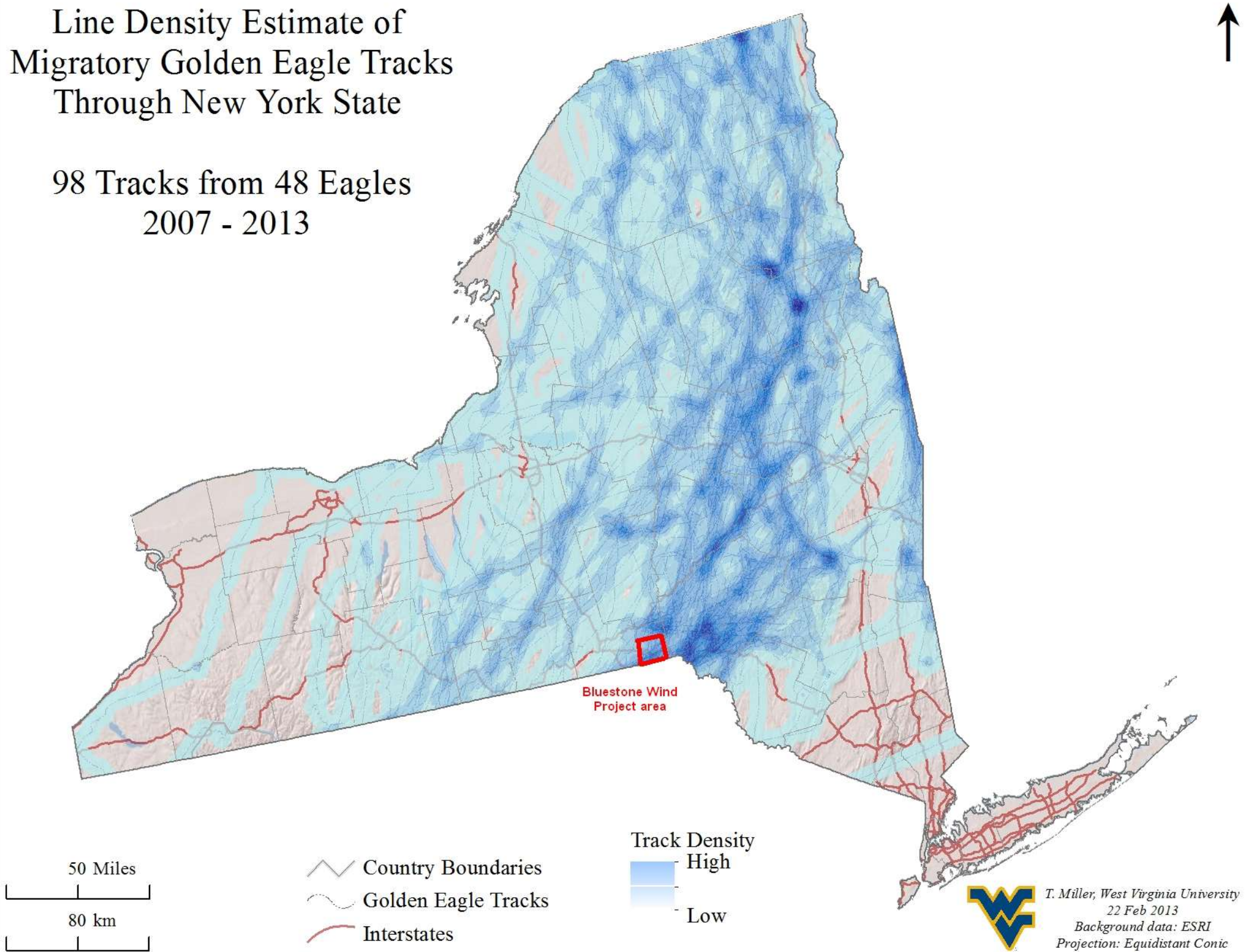
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Line Density Estimate of Migratory Golden Eagle Tracks Through New York State

98 Tracks from 48 Eagles
2007 - 2013





Assessing Risk to Birds from Industrial Wind Energy Development via Paired Resource Selection Models

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Abstract: *When wildlife habitat overlaps with industrial development animals may be harmed. Because wildlife and people select resources to maximize biological fitness and economic return, respectively, we estimated risk, the probability of eagles encountering and being affected by turbines, by overlaying models of resource selection for each entity. This conceptual framework can be applied across multiple spatial scales to understand and mitigate impacts of industry on wildlife. We estimated risk to Golden Eagles (*Aquila chrysaetos*) from wind energy development in 3 topographically distinct regions of the central Appalachian Mountains of Pennsylvania (United States) based on models of resource selection of wind facilities ($n = 43$) and of northbound migrating eagles ($n = 30$). Risk to eagles from wind energy was greatest in the Ridge and Valley region; all 24 eagles that passed through that region used the highest risk landscapes at least once during low altitude flight. In contrast, only half of the birds that entered the Allegheny Plateau region used highest risk landscapes and none did in the Allegheny Mountains. Likewise, in the Allegheny Mountains, the majority of wind turbines (56%) were situated in poor eagle habitat; thus, risk to eagles is lower there than in the Ridge and Valley, where only 1% of turbines are in poor eagle habitat. Risk within individual facilities was extremely variable; on average, facilities had 11% (SD 23; range = 0–100%) of turbines in highest risk landscapes and 26% (SD 30; range = 0–85%) of turbines in the lowest risk landscapes. Our results provide a mechanism for relocating high-risk turbines, and they show the feasibility of this novel and highly adaptable framework for managing risk of harm to wildlife from industrial development.*

Keywords: birds, Golden Eagle, habitat modeling, risk assessment, spatial ecology, wind energy development

Evaluación del Riesgo para las Aves por el Desarrollo de Energía Eólica Industrial Mediante Modelos de Selección de Recursos Pareados.

Resumen: *Cuando el hábitat de la fauna silvestre se traslapa con el desarrollo industrial, los animales pueden resultar afectados. Como la fauna silvestre y la gente seleccionan recursos para maximizar la aptitud biológica y el ingreso económico, respectivamente; estimamos el riesgo y la probabilidad de que las águilas entren en contacto y sean afectadas por las turbinas al sobreponer modelos de la selección de recursos para cada entidad. Este marco de trabajo conceptual puede aplicarse en múltiples escalas espaciales para entender y mitigar los impactos de la industria sobre la fauna silvestre. Estimamos el riesgo para el águila dorada*

(*Aquila chrysaetos*) a partir del desarrollo de energía eólica en tres regiones distintas topográficamente de la parte central de las montañas Apalaches en Pennsylvania (E.U.A) basándonos en modelos de selección de recursos de las instalaciones eólicas ($n = 43$) y de las águilas que migraban hacia el norte ($n = 30$). El riesgo para las águilas fue mayor en las zonas de la Cresta y del Valle; las 24 águilas que pasaron por esa región usaron los paisajes con alto riesgo por lo menos una vez durante el vuelo de poca altitud. En contraste, sólo la mitad de las aves que entraron a la región de la Meseta Allegheny usaron paisajes de alto riesgo y ninguna los usó en las montañas Allegheny. Así mismo, en las montañas Allegheny, la mayoría de las turbinas eólicas (56%) estaban situadas en un hábitat pobre para las águilas; por esto el riesgo para las águilas es más bajo aquí que en el Risco y el Valle, donde solamente el 1% de las turbinas se encuentran en un hábitat pobre para las águilas. El riesgo dentro de las instalaciones individuales fue extremadamente variable: en promedio, las instalaciones tuvieron un 11% (SD 23; rango = 0 - 100%) de las turbinas en paisajes de alto riesgo y un 26% (SD 30; rango = 0 - 85%) de las turbinas en los paisajes con riesgo más bajo. Nuestros resultados proporcionan un mecanismo para reubicar a las turbinas de alto riesgo y muestran la factibilidad de este marco de trabajo novedoso y altamente adaptable para manejar el riesgo de dañar a la fauna silvestre con el desarrollo industrial.

Palabras Clave: Águila dorada, aves, desarrollo de energía eólica, ecología espacial, estudio de riesgo, modelado de hábitat

Introduction

Economic development creates complex problems when juxtaposed against wildlife conservation. Conservation biology seeks to understand and manage threats to species, populations, and ecosystems that can be brought on by development (e.g., Durner et al. 2003; Sawyer et al. 2006; Harju et al. 2011). Biologists traditionally focus exclusively on ecological solutions to these problems. However, advancements in conservation are likely most effective when they focus on solutions that consider the needs of both species and industries. A holistic perspective recognizes that although species select resources to improve their survival and fitness, industries also select resources that are important for their economic bottom line and, thus, survival. In this context, risk, the probability of a negative outcome for eagles and for developers can be visualized by overlaying spatially explicit models of wildlife and industrial resource selection. The resultant model can be used to adjust industrial enterprises so that they pose less of a threat to wildlife.

Wind power generation is one of the fastest growing sources of alternative energy (Wiser & Bolinger 2009). When industrialized, however, wind power has both direct and indirect effects on wildlife; thus, it is one of the most controversial sources of so-called green energy. The direct effects of turbines on wildlife are well documented and come mainly in the form of mortality through blade strikes of birds and bats (Hunt et al. 1999). However, risk extends beyond mortality and includes a suite of relevant indirect effects (Drewitt & Langston 2006). Habitat loss may be a substantial problem especially when intact core habitats are fragmented by infrastructure, pads, and roads (Osborn et al. 2000; Kuvlesky et al. 2007). Displacement, where birds avoid turbines, may have fitness repercussions, for example, when birds are pushed away from preferred movement pathways and incur increased

energetic costs (Chamberlain et al. 2006; Band et al. 2007). Overall, indirect effects may be more important to demography, but more difficult to quantify, than direct mortality (Kuvlesky et al. 2007).

Neither direct nor indirect effects on birds are equally distributed spatially or temporally within or among species or wind facilities (e.g., Barrios & Rodriguez 2004; De Lucas et al. 2008; Ferrer et al. 2012). For example, the Altamont Pass Wind Resource Area in California kills thousands of federally protected birds annually, including approximately 67 Golden Eagles (*Aquila chrysaetos*) and >1000 other raptors per year (Smallwood & Thelander 2008). Conversely, other sites in California and elsewhere cause few mortalities (Erickson et al. 2001; Drewitt & Langston 2006; Johnson et al. 2008). Likewise, within a given facility, certain individual turbines are often responsible for a disproportionate number of mortalities (Osborn et al. 2000; Kuvlesky et al. 2007; May et al. 2011). Finally, individuals or populations of some species, especially eagles, other raptors, and bats, are among the most at risk (Hunt et al. 1999; Chamberlain et al. 2006; Fielding et al. 2006). These site-specific negative impacts all stem from a lack of understanding of resource selection overlap and the challenges of considering potential negative effects on wildlife and species of conservation concern (Smallwood & Thelander 2008; Bevanger et al. 2010; Ferrer et al. 2012).

The central Appalachian Mountains of eastern North America are an important migratory corridor where large numbers of raptors concentrate (Newton 2008) along long narrow ridges that provide subsidized lift (Reichmann 1978; Kerlinger 1989; Lanzone et al. 2012). This region is also important for wind energy development because of the presence of high-quality wind resources similarly associated with the topography (National Renewable Energy Laboratory [NREL], http://www.nrel.gov/gis/data_wind.html/). Pennsylvania can support an

installed wind generation capacity of 3307 MW; the majority of suitable sites for development are located within this critically important avian migratory corridor (NREL 2011). With a current installed capacity of only 883 MW at 19 locations, there is potential for substantial negative turbine-wildlife interactions as additional facilities are installed. Furthermore, an increase in the number of wind power facilities in preferred migratory habitat could result in cumulatively higher energetic costs during migration if the presence of turbines causes birds to alter their flight paths and use of subsidized lift (Drewitt & Langston 2006).

We developed a spatial model-based framework as a tool to solve problems stemming from conflicting industrial and ecological goals. We apply this framework by building models of resource selection for actively migrating Golden Eagles and for wind energy facilities in central Pennsylvania (U.S.A.); testing hypotheses related to resource selection by eagles and wind developers; and overlaying those models to assess risk. We predicted that these models would identify regional differences in resource selection by eagles and by energy developers and that these differences would be driven by variation in topography. We applied our models and show how they can be used to guide site selection at a regional scale, to identify high-risk facilities, and to modify siting of individual turbines at a local scale. This framework can be applied not only to eagles and the wind industry, but also more broadly in other settings with different species and industries.

Methods

Study Species and Area

Golden Eagles are at high risk for collision with wind turbines (Hunt et al. 1999; Smallwood & Thelander 2008). In eastern North America, the small Golden Eagle population breeds in Canada and migrates through and winters in the U.S. Appalachian Mountains (Fig. 1) (Katzner et al. 2012a). We focused our risk assessment in central Pennsylvania, where both eagle migration and wind energy development are coincident. We divided the study area into 3 topographically distinct regions, the Allegheny Mountains, the Ridge and Valley, and the Allegheny Plateau, which were primarily delineated along boundaries of physiographic provinces (Fig. 1) (Bailey 1993).

Telemetry

We captured 30 Golden Eagles on their wintering grounds in Virginia and West Virginia with cannon or rocket nets from 2009 to 2012. We took traditional morphometric measurements (e.g., weight, wing chord) and

estimated age on the basis of molt limits (Jollie 1947; Bloom & Clark 2001). Each bird was banded and outfitted with a 95 g CTT-1100 telemetry unit (1.9–2.8% of the body mass; Cellular Tracking Technologies, Somerset, PA, U.S.A.) that collected GPS-derived location, altitude, heading, and speed at 30- to 60-second intervals. Data were transmitted once daily over the Global System for Mobile Communications (GSM) network. We used Teflon ribbon (Bally Ribbon Mills, Bally, PA, U.S.A.) to attach telemetry units in a backpack style (Fuller et al. 2005). We classified data points as in-flight or perched and used only in-flight data for our analysis. We assigned elevation of the underlying ground to each point using the 10 m national elevation data set of the U.S. Geological Service. Elevation was subtracted from the altitude above sea level reported by the GPS to give approximate altitude above ground level (AGL). Vertical accuracy of the GPS is within 22.5 m (Lanzone et al. 2012).

We used only in-flight data points that were <150 m AGL to model resource selection mainly because modern day turbines are <150 m tall. We, therefore, assumed that birds flying <150 m AGL were at relatively higher risk of encountering and being affected by wind turbines than higher flying birds. Additionally, birds flying at low altitudes should respond similarly to topography (Kerlinger 1989; Katzner et al. 2012b).

Wind Turbine Data

We obtained locations of wind turbines from the public online Federal Aviation Administration (FAA) obstructions database available from <https://oeaaa.faa.gov/oeaaa/external/searchAction.jsp?action=showSearchArchivesForm>. Data were examined for accuracy and duplicate turbines and meteorological towers were removed. Locations of existing facility data were validated by comparison with high resolution Google Earth imagery (W. Seirer, personal communication).

Explanatory Variables

We selected 9 environmental variables that may influence low altitude eagle flight and turbine placement (Supporting Information). We derived 4 variables from a 30-m digital elevation model (Gesch et al. 2002): mean elevation, mean slope, mean eastness, and mean northness, where the mean of each variable was calculated as the average of all pixels within 100 m of that cell. To understand the effect of topographic position, we created continuous variables from 3 categorical topographic positions—steep slopes, side slopes, and summits (ELU, ecological land units) (Anderson et al. 2006)—by calculating a separate Euclidean distance grid to each. Because available wind is important to turbines and to eagles, we also included a variable describing wind conditions (NREL). These data classify available wind at 50 m AGL into 7 classes, where

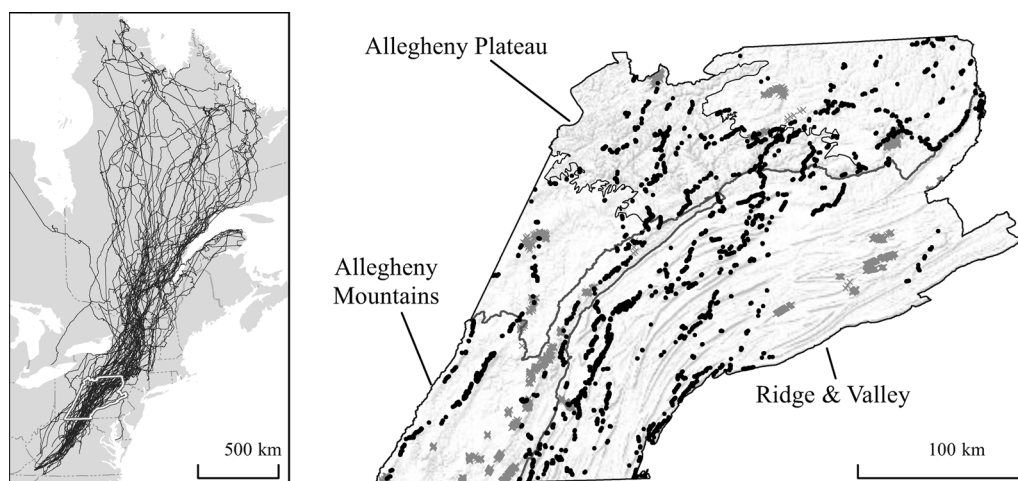


Figure 1. Map on the right shows migratory tracks of Golden Eagles ($n = 47$) (white outline, migratory bottleneck in the study area in the central Appalachian Mountains, U.S.A., 2007–2012). Map on the left study area with Golden Eagle telemetry locations (dots) and proposed or existing wind turbine locations 2001–2010 (Xs) (black lines, physiographic province boundaries; dark gray lines, modeled regions. Data sources: physiographic boundaries, USDA Forest Service, Washington, D.C.; wind turbine locations, Federal Aviation Administration; background data, ESRI, Redlands, California.

class 3 and above are suitable for wind energy development. We extracted and combined classes 3–7 and calculated a continuous Euclidean distance grid to those cells and used the distance to class 3 and above winds as our variable of interest. Finally, to estimate the potential for orographic lift, which is a lift mechanism used by low-flying migratory eagles, we calculated updraft potential (w_o) (Brandes & Ombalski 2004) for each of the 8 cardinal directions with a standard wind speed (v) of 10 m/s:

$$w_o = v \times \sin(\theta) \times (\cos(\alpha - \beta)), \quad (1)$$

where θ is the slope angle, α is the wind direction, and β is the terrain aspect; all angles are in radians. We combined the 8 resultant raster layers into one by selecting the maximum potential updraft value among the 8 cardinal directions. We standardized all raster data sets by dividing the mean and subtracting the standard deviation.

Modeling Resource Selection

We modeled resource selection of eagles and siting of wind turbines by relating locational data to underlying topographic variables that potentially influence fine scale movement of wind across the landscape, which is important to both eagles and wind power generation. In each region, we employed a use-available design for eagles to generate resource selection functions (RSF) that estimate the relative probability of use based on known use locations and the resources available throughout the study area (Manly 2002). Because wind turbines are stationary, we employed a used or unused design to generate resource selection probability functions (RSPF), which

estimate the actual probability of use based on known use locations and known unused locations that were selected at random (Manly 2002).

For eagles, we generated random points along directed correlated random walks (dCRW) (CRW Simulator II, Hawth's Tools (Beyer 2004)) to represent available habitat. For wind turbines, we used FAA database locations of turbines for the used data and generated random points that did not overlap with used locations. Detailed descriptions of the methods for generating random locations are included in Supporting Information.

We separated our data into training and test data; 75% of the points were used to create the models and 25% of the points were used to validate the models. We separated the data by randomly selecting 25% of the used eagle points and 25% of both the used and unused turbine points; the random selections were stratified among individuals and facilities.

We calculated a correlation matrix for all variables in each region for eagles and turbines with the training data. We removed variables with a Pearson correlation >0.5 . We used logistic generalized estimating equations with an independence correlation structure (GEE, geepack) (Højsgaard et al. 2005) (R version 2.13) (R Development Core Team 2011) to determine resource selection. We calculated full models with the remaining uncorrelated variables and two-way interactions between each variable. We defined repeated measures within both data sets using individual eagle and individual wind facility. We used backwards stepwise selection, where terms remained in the model when $p < 0.05$. From the final model generated by the GEE, we created spatially

Table 1. Classification of risk of migrating Golden Eagles encountering and being affected by wind turbines.

<i>Level of risk</i>	<i>Risk class^a</i>	<i>Eagle resource selection class</i>	<i>Turbine resource selection class</i>
Low	1	poor	poor
Low	2	poor	fair—excellent
Moderate—extreme	3	fair—excellent	poor
Moderate	4	fair	fair—excellent
High	5	good	fair—excellent
Extreme	6	excellent	fair—excellent

^aClasses based on Golden Eagle and wind energy resource selection.

explicit RSF models for eagles and RSPF models for turbines (ArcInfo 10, ESRI, Redlands, CA, USA) (Manly 2002).

To account for model uncertainty, we reclassified the continuous turbine and eagle models into 4 bins representing poor, fair, good, and excellent habitat. We reclassified the eagle models and used the training data as a guide for breakpoints. We broke the RSF values for the training data into 4 classes, where class 1 contained 10% of the training points, class 2 contained the next 15%, class 3 contained 25%, and class 4 contained the remaining 45% of the training points. We then used these values to reclassify the spatial RSF models into the 4 bins. Because the RSPF turbine models are constrained between 0 and 1, we used equal breaks at 0.25, 0.5, and 0.75 to reclassify the spatial RSPF models into 4 bins.

We validated all models with existing accuracy assessment methods (DeLeo 1993; Fielding & Bell 1997; Johnson et al. 2006). We fully describe the methods and results of the model validation in Supporting Information.

Assessing Risk

We created risk models for each region by overlaying the eagle and turbine models. We categorized risk of negative interactions into 6 classes of increasing resource selection by eagles, where classes 1–2 are low risk, class 3 is moderate—high risk, class 4 is moderate risk, class 5 is high risk, and class 6 is extreme risk (Table 1).

Results

We tracked 30 birds, 29 of which crossed more than one topographically distinct region. Fourteen eagles migrated through the Allegheny Mountains region, 18 the Allegheny Plateau, and 24 the Ridge and Valley (Fig. 1). We obtained 37,386 telemetry points during spring migration from 2009 to 2012; of these, 26,681 were in-flight. In the Allegheny Mountains region we used 586 migratory flight points <150 m AGL. There were 1481 similar points

in the Allegheny Plateau region, and 2279 in the Ridge and Valley.

There were 43 wind facilities in the study area, 19 in operation and 24 proposed. We modeled 20 facilities with 473 turbines in the Allegheny Mountains, 9 facilities and 383 turbines in the Allegheny Plateau, and 14 facilities and 298 turbines in the Ridge and Valley.

Resource Selection by Low-Flying Eagles

Eagles selected areas with higher updraft potential in all regions (Table 2). Additional factors influencing movements varied by region. In both the Allegheny Plateau and Ridge and Valley, selection was for higher elevations and south-facing slopes. The final models in the Allegheny Plateau and the Allegheny Mountains contained interactions. In the Allegheny Plateau region updrafts became increasingly important as distance from high quality wind resources increased. In the Allegheny Mountains the interactions showed that eagles selected areas with higher updraft potential along west facing slopes and preferred either northwest slopes or southeast slopes over other orientations.

Resource Selection for Siting of Wind Turbines

Turbine placement varied with region. In the Allegheny Mountains, placement was in high elevation areas with low updraft potential and westerly aspects (Table 2). Turbine placement in the Allegheny Plateau was much more complicated because there were several interaction terms in the final model. These indicate that placement was associated with high elevation summits with low updraft potential and westerly aspects. In the Ridge and Valley, developers selected high elevation summits away from side slopes in areas with lower updraft potential and southeasterly aspects.

Risk of Negative Interactions

The intersection of good eagle and wind-power resources occurred along slope edges and narrow ridgetops (Table 2). Risk of negative interactions varied by region and was lowest in the Allegheny Mountains and highest in the Ridge and Valley. The land area suitable for development of wind energy was relatively small (16.4% in Allegheny Mountains, 13.4% in Allegheny Plateau, and 9.1% in Ridge and Valley) (Fig. 2). Conversely, land area suitable for eagle migration was considerably larger (65.4% in Allegheny Mountains, 68.7% in Allegheny Plateau, and 48.4% in Ridge and Valley). However, the global models we created for eagles included all wind directions. The amount of eagle habitat on any given day depends on the specific set of weather conditions on that day; thus, the amount of available habitat is constrained by those conditions.

Table 2. Results of logistic generalized estimating equation model of resource selection functions of low-altitude flight of Golden Eagles during spring migration and of siting of industrial wind turbines in 3 regions of Pennsylvania, U.S.A.

Explanatory variable ^a	Golden Eagles			Wind energy facilities		
	Allegheny Mountains β (SE), p^b	Allegheny Plateau β (SE), p	Ridge & Valley β (SE), p	Allegheny Mountains β (SE), p	Allegheny Plateau β (SE), p	Ridge & Valley β (SE), p
Intercept	-2.36 (0.44), <0.001	-2.2 (0.35), <0.001	-3.28 (0.17), <0.001	-4.42 (0.59), <0.001	-4.24 (1.05), <0.001	-4.46 (0.63), <0.001
Elevation		0.58 (0.22), 0.009	0.9 (0.12), <0.001	3.02 (0.62), <0.001	2.71 (0.65), <0.001	2.05 (0.49), <0.001
Northness	-0.19 (0.14), 0.165	-0.25 (0.10), 0.016	-0.22 (0.10), 0.024			-0.83 (0.25), <0.001
Eastness	-0.14 (0.14), 0.330			-0.35 (0.12), 0.004	0.45 (0.25), 0.07	0.51 (0.23), 0.024
Updraft	0.62 (0.09), <0.001	0.80 (0.08), <0.001	0.51 (0.05), <0.001	0.07 (0.32), 0.838	0.09 (0.38), 0.821	0.07 (0.25), 0.791
Wind		-0.11 (0.21), 0.591				
Side slope						
Summit						
Elevation * northness						
Elevation * eastness						
Elevation * updraft						
Elevation * summit						
Northness * eastness	-0.31 (0.12), 0.011					
Eastness * updraft	-0.27 (0.09), 0.003					
Eastness * summit						
Updraft * wind						
Updraft * side Slope		0.21 (0.11), 0.048				
Updraft * summit						
Estimated scale parameters						
Intercept	0.99 (0.37)	1.02 (0.90)	1.03 (1.21)	0.64 (1.09)	0.77 (1.73)	0.50 (0.97)

^aVariable descriptions and sources are listed in Table 1. Variables shown are those included in the final model.

^bModel coefficient estimates of standardized variables.

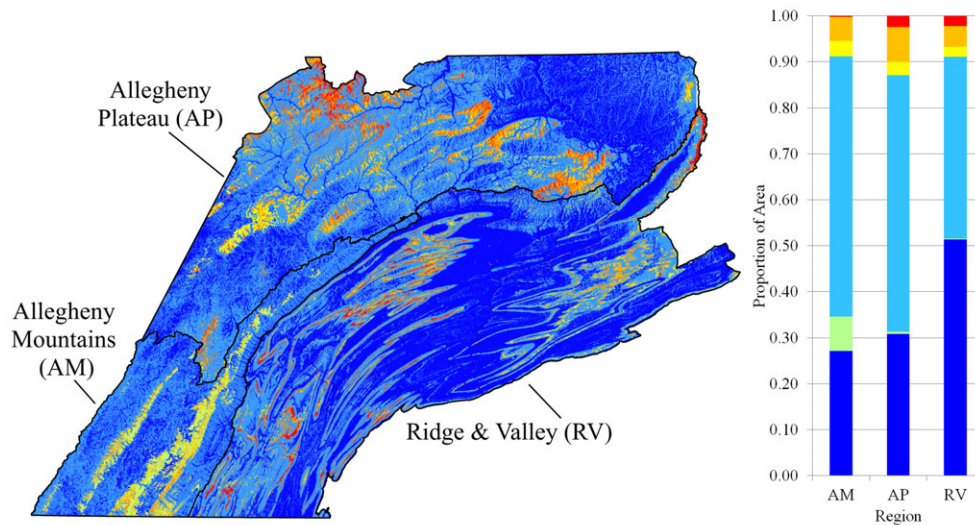


Figure 2. Risk of Golden Eagles encountering and being affected by wind turbines during spring migration in 3 regions of central Pennsylvania, U.S.A. (dark blue, low risk, low value for eagles and turbines; green, low risk, poor eagle habitat and fair—excellent turbine site; light blue, moderate—extreme risk, fair—excellent eagle habitat and poor turbine site; yellow, moderate risk, fair eagle habitat and fair—excellent turbine site; orange, high risk, good eagle habitat and fair—excellent turbine site; red, extreme risk, excellent eagle habitat and fair—excellent turbine site; AM, Allegheny Mountains; AP, Allegheny Plateau; RV, Ridge and Valley). Graph shows proportion of area within each risk class per region.

Resource selection by eagles and for wind power overlapped. Nevertheless, the amount of overlap in land area of good eagle habitat and good wind turbine sites was relatively constrained in all 3 regions (risk class 4–6; Allegheny Mountains = 8.8% of total area, Allegheny Plateau = 12.9%, Ridge and Valley = 8.9%). Although 7.5% of the total area of the Allegheny Mountains could be developed with little risk to migratory eagles, only 0.2% of the Ridge and Valley, and 0.5% of the Allegheny Plateau could be similarly developed.

There was spatial variation in risk within each region (Fig. 2). This was most evident in the Ridge and Valley, where the greatest risk occurred along the north-south oriented ridges in the western part of the region; lower risk occurred along northeast-southwest oriented ridges.

Comparison of turbine data and eagle data to the risk model showed the relative risk at each location. Risk from turbines to eagles was higher in the Ridge and Valley and Allegheny Plateau, where most individual eagles used and turbines were sited in the high and extreme risk areas (Table 3, Fig. 2). Overall, 96.6% ($n = 29$) of the birds we tracked used extreme risk areas (class 6) at least once during the course of migration. Within the Ridge and Valley, 91.7% ($n = 22$) of birds used high-risk areas (class 5) at least once during migration, and all birds ($n = 24$) used extreme risk areas (class 6). On the Allegheny Plateau, 61.1% ($n = 11$) of the individual birds used both high and extreme risk areas at least once. Conversely, in the Allegheny Mountains, only 42.9% ($n = 6$) of birds used high-risk areas and none used extreme risk areas.

Turbine data showed similar regional patterns. In the Allegheny Plateau, 49.1% ($n = 188$) of turbines were sited in high-risk areas, and all facilities ($n = 9$) had at least one turbine in a high-risk area (Table 3). In addition, 23.5% ($n = 90$) of turbines in 88.9% ($n = 8$) of the facilities were sited in extreme risk areas. In the Ridge and Valley, 86.7% ($n = 8$) of the facilities had at least one turbine in high-risk areas, and 52.2% ($n = 156$) of all turbines were in this risk class. Half as many turbines occurred in extreme risk areas in this region (25.5%, $n = 76$; 50.0%, $n = 7$) of the facilities had at least one turbine in the extreme risk class. In contrast, within the Allegheny Mountains region only 18.8% ($n = 89$) of the turbines from 85.0% ($n = 17$) of facilities were in high-risk areas and no facilities or turbines occurred in extreme risk areas.

Discussion

Our models of low-flying Golden Eagles and wind turbines allowed us to estimate, for the first time over a broad geographic scale, risk of negative interactions between wildlife and energy development. This is important because mechanisms are sorely needed to characterize risk to biodiversity in resource extraction processes. Because we modeled overall resource selection rather than specific effects (e.g., collision), our approach provides a context for evaluating both direct and indirect effects at multiple spatial scales. Consequently, our models showed the effectiveness of a comparative

Table 3. Occurrence and percentage of telemetry points, individual birds, turbines, and wind facilities in each modeled risk class in each region.

	Region	n	Risk class ^a											
			1	2	3	4	5	6						
Telemetry Points	Allegheny Mts.	586	67 (11.4)	14 (2.4)	430 (73.4)	20 (3.4)	55 (9.4)	- (0)						
	Allegheny Plateau	1481	147 (9.9)	8 (0.5)	1174 (79.4)	25 (1.7)	98 (6.6)	27 (1.8)						
	Ridge & Valley	2279	205 (9.0)	1 (0)	1584 (69.5)	25 (1.1)	167 (7.3)	296 (13)						
Birds	Allegheny Mts.	14	14 (100)	7 (50)	14 (100)	5 (35.7)	6 (42.9)	- (0)						
	Allegheny Plateau	18	16 (88.9)	5 (27.8)	18 (100)	8 (44.4)	11 (61.1)	11 (61.1)						
	Ridge & Valley	24	20 (83.3)	1 (4.2)	24 (100)	9 (37.5)	22 (91.7)	24 (100)						
Turbines	Allegheny Mts.	473	10 (2.1)	265 (56.0)	24 (5.1)	85 (18.0)	89 (18.8)	- (0)						
	Allegheny Plateau	383	13 (3.4)	19 (5.0)	25 (6.5)	48 (12.5)	188 (49.1)	90 (23.5)						
	Ridge & Valley	298	1 (0.3)	3 (1.0)	18 (6)	45 (14.8)	156 (52.3)	76 (25.5)						
Facilities	Allegheny Mts.	20	7 (35.0)	20 (100)	9 (45.0)	18 (90.0)	17 (85.0)	- (0)						
	Allegheny Plateau	9	2 (22.2)	2 (22.2)	6 (66.7)	5 (55.6)	9 (100)	8 (88.9)						
	Ridge & Valley	14	1 (7.1)	1 (7.1)	10 (71.4)	10 (71.4)	13 (92.8)	7 (50.0)						

^a Risk: 1, low risk (low value to eagles and turbines); 2, low risk (poor eagle habitat, fair—excellent turbine site); 3, moderate—extreme risk (fair—excellent eagle habitat, poor turbine site); 4, moderate risk (fair eagle habitat, fair—excellent turbine site); 5, high risk (good eagle habitat, fair—excellent turbine site); 6, extreme risk (excellent eagle habitat, fair—excellent turbine site). Values are occurrences and percentage of total.

approach to identifying eagle-safe avenues for wind energy development. They would also be useful at a site level—to prevent and mitigate negative energy-wildlife interactions—or at a regional level—to identify broadly where energy development poses relatively high and low risk to wildlife.

Resource Selection by Low-Flying Eagles

Eagles and other soaring birds minimize the energetic costs of migration by seeking out updrafts to subsidize flight (Katzner et al. 2012b). Our models showed that low-flying eagles consistently selected areas of high updraft potential. When in these areas, eagles are likely using orographic lift—updrafts created when horizontally moving wind is deflected by terrain—to subsidize flight (Kerlinger 1989; Duerr et al. 2012; Lanzzone et al. 2012). South-facing slopes, which deflect south winds and generate springtime thermals, were associated with low altitude flight in all regions except the Allegheny Mountains. However, because eagles select resources based on the weather conditions they experience when flying, other topographic resources also are important for migration. In a variable meteorological environment the location of the best lift, and thus the location of the greatest risk, depends on the shape and roughness of the terrain (Reichmann 1978).

Selecting Sites for Wind Turbines

To optimize energy production in the Appalachian Mountains, turbine placement tends to be at higher elevations, where wind flow is smooth and unobstructed. However, all models of turbine placement were highly complex with multiple interaction terms; thus, siting turbines may be driven by a suite of characteristics. Our results suggest

that distance to good wind resources as described by NREL was not associated with turbine placement. This may be a result of the fine scale at which we modeled turbine placement and the relatively large scale of the public wind resource data. Indeed, commercial developers always place meteorological towers at sites prior to development to hone fine scale turbine placement.

The high accuracy of our models suggests that in lieu of proprietary wind data that developers are unlikely to share, topography is a useful proxy to estimate turbine placement. Nevertheless, models that include such proprietary data would almost certainly be even more useful to developers to understand the risk to eagles at a specific facility.

Regional Risk to Eagles

Our models suggest that wind developments in the Allegheny Mountains would, on average, pose lower risk to eagles during spring migration than developments in other regions. Furthermore, the limited resource overlap there suggests lower regional risk and greater opportunities for mitigation by moving high-risk turbines short distances. In contrast, overlap was higher in the Allegheny Plateau and the Ridge and Valley, and there were fewer low-risk options for development. The Ridge and Valley is of particular interest because although it is mainly composed of 2 primary landform types—long, linear ridges, and valleys—there is great within-region variability in risk. Our model results implied that turbines along the north-south ridges pose greater risk to spring migratory eagles than turbines along the northeast-southwest oriented ridges. This is likely because spring migrants move almost directly north along these ridges until they reach the Allegheny Plateau, where their migration proceeds north-northeast. Our model does not

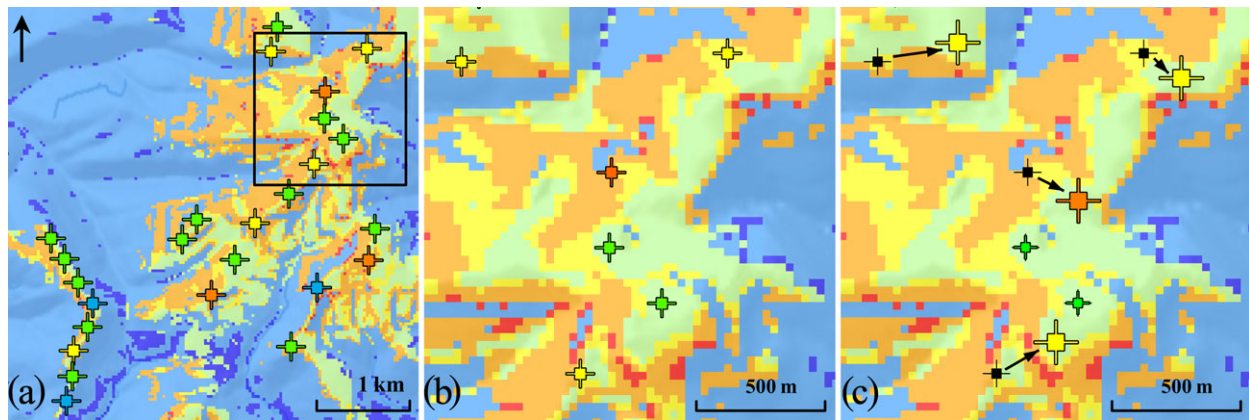


Figure 3. For a wind-energy facility in southwestern Pennsylvania, U.S.A. (a) location of all turbines in the facility and the associated risk of Golden Eagles encountering and being affected by each, (b) a detailed view of turbines and risk model, (c) application of the model to reduce risk by moving turbines to low-risk areas that still have potential for wind energy development, where enlarged symbols show proposed locations in adjacent low-risk areas (color of turbine symbols and underlying layer corresponds to risk class: dark blue, low risk, low value for eagles and turbines; green, low risk, poor eagle habitat and fair—excellent turbine site; light blue, moderate—extreme risk, fair—excellent eagle habitat and poor turbine site; yellow, moderate risk, fair eagle habitat and fair—excellent turbine site; orange, high risk, good eagle habitat and fair—excellent turbine site; red, extreme risk, excellent eagle habitat and fair—excellent turbine site; black symbols, original proposed locations of wind turbines).

consider southbound autumn migration when prevailing synoptic weather patterns push eagles to eastern ridges (Kerlinger 1989), and our model may therefore underestimate risk to birds on these ridges during autumn.

The implication of our findings is that we can reduce the risk of negative wind-wildlife interactions by broadly avoiding development where good quality habitat for eagles and good resources for wind turbines overlap. While application of tools such as these is of critical importance for protection of natural resources, the existing frameworks for this process are limited in scope and broad utility (Braunisch et al. 2011). Although our data are from wind energy developments and evaluate risk to one species (Golden Eagles), the conceptual framework we developed can be broadly applied to evaluate risk from any development process to any species or suite of species and to suggest avenues for minimization of that risk.

Site Level Prediction and Minimization of Risk

Preconstruction model assessments can reduce risk if they are used to guide siting of individual high-risk turbines into adjacent yet lower risk areas. Moreover, post-construction mitigation is also possible by shutting down particularly high-risk turbines during periods when eagles occur with highest frequency (in this region migration generally occurs from late Feb to mid-Apr and late Oct to early Dec). We provide an example of such risk prevention in the Allegheny Mountain region (Fig. 3a), where 32% ($n = 8$) of the proposed turbines are relatively

high risk (i.e., they fall in risk classes 4 and 5). The center string has 6 out of 13 turbines in high-risk zones (Fig. 3a). By overlaying the risk model and the turbines, our model identified adjacent lower risk turbine locations predicted to minimally alter energy generation potential (Fig. 3c) and to lower risk to migrating Golden Eagles.

Implications for Management and Development

Spatial comparison of competing resource selection models is a conceptual way to understand risk across multiple spatial scales. This ecologically based approach is flexible because it allows the use of other types of predictive resource selection models, including wind tunnel simulations (De Lucas et al. 2012). Moreover, it allows biologists and energy developers to visualize and quantify overlaps in resource selection among competing groups and to identify mechanisms to reduce competitive interactions and thus risk to wildlife and to industry. Risk abatement that balances competing ecological and industrial goals is an important step toward safer development of all types of energy and economic growth and it may allow developers to analyze economic viability of projects. As is the case for any development, once a wind plant is built it is economically impractical to decommission problem turbines even if wildlife mortality is high (Smallwood & Karas 2009). Thus, effective prediction of direct and indirect effects is critical. Furthermore, in the case of wind energy, there are few mandatory state-level guidelines for compensatory mitigation. It is, therefore, important to encourage industry compliance with voluntary wildlife

guidelines through economically viable tools. An important next step for application of our models would be development of very high-resolution models based on finer-scale elevation data and industrial-quality, proprietary wind maps, and siting plans for individual sites. This would allow developers and land managers to make the best possible and most scientifically informed decisions about turbine placement.

An ultimate goal to minimize risk to wildlife and industry would be to combine models for all high-risk species throughout the annual cycle in conjunction with a suite of energy development activities including oil and gas development, pipeline, road, or electric transmission line placement. Such a framework would allow parameterization of the long-term sustainability of human actions across a broad spatial and temporal scale and quantitative characterization of the true impacts of economically essential activities on biodiversity.

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Supporting Information

Detailed information on the methods and results of model assessment (Appendix S1) are available online. The authors are solely responsible for the content and functionality of materials. Queries (other than absence of the material) should be directed to the corresponding author.

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Supplemental Information

Materials and Methods

Generation of random points

For eagles, we used telemetry data below 150m AGL as a proxy for use and generated random points along directed correlated random walks (dCRW; CRW Simulator II, Hawth's Tools (Beyer 2004)) to represent available habitat. We used a dCRW to generate random points so that the correlation structure of the random data approximated the correlation structure of the telemetry data. We generated a different dCRW for each bird in each region so that the number of dCRWs was equal to the number of birds migrating through each region. We parameterized the dCRWs for each bird by calculating the mean step length and mean turn angle for the entire migratory track which includes both low and high altitude flight. We generated northbound dCRWs that were 1000 points long and started the walks at random points located along the southern edge of the study regions. We clipped the final walks to the study regions resulting in dCRWs that ranged in length from 119-1000 points.

For wind turbines, we used FAA database locations of turbines for the used data and generated random points that did not overlap with used locations. We did this by first creating a polygon grid across each region that was similar in size to the largest facility within a region and similar in shape to the majority of facilities. For example, in the Ridge and Valley, existing facilities are long and linear because they are built along ridge lines, so the polygon grid for unused areas was long and linear. Within each grid we removed blocks that were < 75% of the area of the largest facility and all blocks that intersected a 500 m buffer around each facility. From the remaining data set, we randomly selected blocks equivalent to the total number of facilities in each region. Finally, in each block to represent unused habitat, we

randomly dropped points equal in number to the number of turbines in the largest facility within that region. All points within a single block were assigned as unused locations for a single facility.

Model Assessment

The turbine models were validated with the test-data using receiver operator command plots (ROC), area-under-the-curve (AUC) (DeLeo 1993) and Kappa (K ; (Fielding & Bell 1997))

Because it is inappropriate to use the above methods to validate models created under a used-available design, we validated the eagle models using the test data set by comparing the expected and actual number of observations in each bin as follows (Johnson et al. 2006). We calculated the utilization of bin i ($U(x_i)$) with the following equation:

$$U(x_i) = w(x_i)A(x_i) / \sum_j w(x_j)A(x_j) \quad \text{Eq. S1}$$

where $w(x_i)$ is the midpoint of bin i , and $A(x_i)$ is the area of bin i (Boyce & McDonald 1999). We then calculated the expected number of validation points N_i within each bin using the formula:

$$N_i = N \times U(x_i) \quad \text{Eq. S2}$$

where N is the total number of test-data observations.

We compared the actual number of test-data observations within each bin to the expected number of observations using chi-square tests of observed and expected frequencies and linear regression. We assessed the slope of the regression line for a difference from the ideal slope of 1, where expected equals observed, and for a significant difference from a slope of 0 which would indicate that the model is not different from a random model. We assessed the intercept for a difference from 0, which is expected for an ideal model that is proportional to the probability of use. We then assessed the fit of the model using the R^2 and χ^2 goodness-of-fit values. Finally, we tested for significant differences between expected and observed values within each bin using χ^2 tests of observed to expected frequencies.

Results

Model Accuracy

Regional models for both eagles and turbines were highly accurate (Fig. S1). Fit was high for all of the eagle models ($R^2 > 0.98$). An ideal model shows a slope of 1 and an intercept of 0. None of the regression lines were different from 0 and none differed significantly from 1. Moreover, the regression results showed that all models were different from a random model as the slopes were significantly different from 0. The χ^2 goodness-of-fit tests showed that the Allegheny Mountains and Ridge and Valley models fit the data. However, for the Northern Plateaus model the χ^2 goodness-of-fit suggested that the model did not fit the data ($\chi^2 = 13.17$, $p = 0.004$). This was driven by the first bin which was the only bin that differed significantly from expected (6.4% observed vs. 10.8% expected; $\chi^2 = 10.75$, $p = 0.001$).

The wind turbine RSPF models proved accurate. AUC scores of the test data were 0.960 ± 0.008 (SE) for the Allegheny Mountains, 0.908 ± 0.016 for the Northern Plateaus, and 0.977 ± 0.008 for the Ridge and Valley. Kappa was high for the Allegheny Mountains (0.761 ± 0.035) and Ridge and Valley (0.794 ± 0.041) and good for Northern Plateaus (0.572 ± 0.051) (Landis & Koch 1977).

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Supplemental Tables and Figures Table S1. Explanatory variables, with descriptions and data sources, in generalized estimating equations used to model resource selection of sites for wind energy developments and resource selection of Golden Eagles during low altitude spring migration in Pennsylvania (U.S.A.).

<u>Variable Name</u>	<u>Description^a</u>	<u>Source</u>
Elevation	National Elevation Dataset	USGS ^b
Northness	$\cos(\text{Aspect})$ in radians; 1 = north, -1 = south, east and west = 0	Derived from elevation model
Eastness	$\sin(\text{Aspect})$ in radians; east = 1, west = -1, north and south = 0	Derived from elevation model
Slope	Slope in degrees	Derived from elevation model
Updraft	Estimation of the maximum updraft potential under any wind condition. Calculated as the maximum value of $(\text{wind speed}((\sin(\text{slope}) \times (\cos(\text{wind direction} - \text{aspect}))))$ for the eight cardinal directions, where wind speed = 10 ms^{-1} and angles are in radians	adapted from Brandes & Ombalski (2004)
Wind	Continuous variable calculated as the Euclidean distance to high quality wind resources (wind class ≥ 3)	Derived from NREL 50 m wind resources ^c
Side Slope	Continuous variable calculated as the Euclidean distance to side slopes	Derived from landform ^d
Steep Slope	Continuous variable calculated as the Euclidean distance to steep slopes	Derived from landform ^d
Summit	Continuous variable calculated as the Euclidean distance to summits	Derived from landform ^d

^aAll variables are 30 m resolution raster grids. ^bUnited States Geological Survey, ^cNational Renewable Energy Laboratory (http://www.nrel.gov/wind/resource_assessment.html; accessed 20, 27 Feb and 02 March 2009 and 27 July 2010), ^dEcological Land Unit The Nature Conservancy

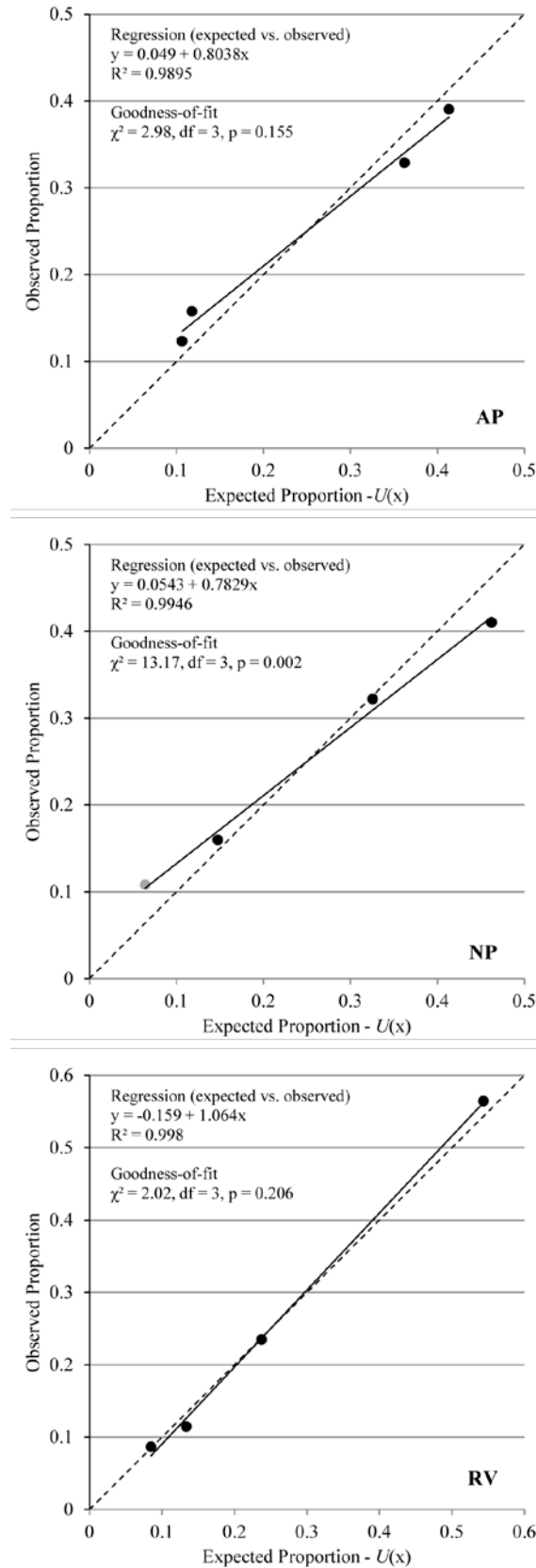


Fig. S1: Resource selection function model accuracy for golden eagle (*Aquila chrysaetos*) flight ≤ 150 m above ground level was determined by plotting expected versus observed proportion of validation telemetry data in 4 RSF bins for each region (Allegheny mountains, $n = 14$, observations = 147; Northern Plateaus, $n = 18$, observations = 370; Ridge and Valley, $n = 24$, observations = 569). A random model would have a horizontal regression line where use = available ($y = 0.25$). An ideal model (regression line = dashed) has a slope of 1 and intercept of 0. The fitted regression line is shown as a black solid line. RSF bin observations that are significantly different from expected are plotted as grey dots while black dots are not significantly different than expected.